

On the Electronic Structure of Ethidium

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Abstract: The electronic structure of the common intercalating agent ethidium bromide (3,8-diamino-5-ethyl-6-phenylphenanthridinium bromide) is dominated by an interplay of electron donating and withdrawing effects mediated by its nitrogen atoms. X-ray crystallography, UV/Vis and IR absorption, fluorescence emission, and NMR spectroscopy are used to probe the electronic properties of the phenanthridinium “core” of ethidium as well as its exocyclic amines and 6-phenyl groups.

Interestingly, despite its positive charge, most of ethidium’s aromatic carbon and hydrogen atoms have *high* electron densities (compared to both 6-phenylphenanthridine and benzene). The data suggest that electron donation by ethidium’s exocyclic amines domi-

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nates over the electron withdrawing effects of its endocyclic iminium in their combined influence on the electron densities of these atoms. Ethidium’s nitrogen atoms are, conversely, electron deficient where the 5-position is the most electropositive, followed by the 3-amino, and lastly the 8-amino group. These results have been used to generate an empirically-based π -electron density map of ethidium that may prove useful to understanding its nucleic acid binding specificity.


Introduction

Ethidium bromide (3,8-diamino-5-ethyl-6-phenylphenanthridinium bromide) is a common fluorescent stain for nucleic acids.^[1] It is reported to have significant anti-tumor,^[2,3] and anti-viral properties.^[4,5] Its potential applications in human therapy are prevented, however, due to its mutagenic and carcinogenic activities in model organisms.^[6,7] These activities are thought to be a direct consequence of ethidium’s high affinity for DNA.^[8–10] We recently derivatized the amines at the 3- and 8-positions of ethidium to tune its nucleic acid affinity and binding specificity.^[11] The resulting compounds exhibit a number of interesting trends in their photophysical characteristics *and* DNA binding properties.^[11] A potential relationship between these properties has prompted us to explore the forces involved in the binding of ethidium to various nucleic acids.

Under physiologically relevant ionic strengths, ethidium binds to duplex nucleic acids by intercalation between base

pairs.^[8,9,12] Ethidium binds to different duplex nucleic acids with a free energy of about 5–9 kcal mol^{−1}, depending on the identity of the nucleic acid.^[13a,14] Both the binding mode (surface association versus intercalation) and the free energy of ethidium–nucleic acid complexes are highly sensitive to the ionic strength of the solution.^[9,13] This raises the possibility that ethidium’s base stacking propensity and intercalation site specificity may also depend upon electrostatic interactions.^[15,16] Electrostatic complementation is known to be an important factor in π -stacking interactions.^[17,18] For phenyl–phenyl stacking, electron-withdrawing groups lower Coulombic repulsion between the π electrons of each ring.^[19] Attraction is observed when electron-rich and electron-poor rings are stacked face-to-face.^[17,20] Gas phase computational studies based upon electrostatic surface potentials,^[15] atom-centered charges,^[16] and HOMO–LUMO overlap,^[21] have been used to model the stacking interactions between ethidium and one or more base pair(s). These studies were based upon the premise that the phenanthridinium “core” of ethidium is an electron-poor polycyclic system that, depending on geometric constraints, can stack face-to-face with the relatively electron-rich nucleobases.^[15,16,21] These studies concluded that dispersion forces likely provide the main energetic driving force for intercalation,^[16,18] but that electrostatic complementation may play an important role in determining ethidium’s site specificity and binding orientation.^[15]

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We have accumulated data using high resolution X-ray crystallography, UV/Vis and IR absorption, fluorescence emission, NMR spectroscopy, chemical reactivity, and acid-base titrations that have facilitated a broad survey of ethidium's electronic features. We have used the data to estimate the charges on each of its nitrogen atoms and to generate an empirically-based π -electron density map that is scaled to ethidium's +1 charge. A comparison of these results with previous theoretical studies is presented, and the ways that ethidium's electron distribution may influence its binding interactions with nucleic acids are discussed.

Results and Discussion

Chemical reactivity: We have recently reported a modular synthetic scheme that facilitates the protection and modification of ethidium's exocyclic amines.^[11] By reacting one equivalent of benzyl chloroformate with ethidium bromide (**1**), a mixture of benzyl carbamate (Cbz)-containing products is obtained that contains five-times more "8-Cbz-ethidium chloride" (**3**) as compared to "3-Cbz-ethidium chloride" (**2**) (Figure 1). Weaker electrophiles exhibit little if any reactivity towards ethidium's exocyclic amines (especially at the 3-position) even at elevated temperatures and extended reaction times.^[11] Similar trends in relative reactivity of the 3- and 8-amines have also been reported by another group.^[23] The differences were explained as a combination of two effects: the electronic inactivation of the 3-position by resonance with the 5-iminium group as well as the steric constraints imposed on ethidium upon its dimerization in DMF.^[23] For the studies reported here, dimerization is not expected to play a role since ethidium exhibits only a very weak association constant in water ($K_{eq} = 30\text{--}178\text{ M}^{-1}$), and shows no dimerization in DMSO.^[24] We believe that the trends in the chemical reactivity of ethidium's exocyclic amines (as well as their pK_a values, NMR chemical shifts, and nitrogen-carbon bond lengths) are a consequence of two or more electronic effects presented below. These same effects may also play an important role in the photophysical properties of ethidium and its derivatives.

Photophysical properties: We have used the Cbz-protected compounds **2** and **3** as precursors for the synthesis of a family of ethidium derivatives (Table 1).^[25] The trends in the

photophysical properties of the resulting derivatives provide insight into the distinct influences that the 3- and 8-positions have on the ground and excited states of ethidium. Aqueous solutions of ethidium display an absorption band at 486 nm that possesses charge-transfer character.^[26] This transition is highly sensitive to the identity and combination of the functional groups at the 3- and 8-positions (Table 1). For all ethidium derivatives that have a lower electron donation capacity at these positions (relative to ethidium's unmodified amines), a blue-shift in λ_{max} is observed (compare ethidium (**1**) to compounds **2–11**, Table 1). The only derivative that has augmented electron donation at these positions relative to ethidium (compound **12**, Table 1) has a red-shifted absorption maximum. These same derivatives also exhibit variable fluorescence properties. All bis-substituted derivatives that have diminished electron donation capacities (**4**, **7**, **10** and **11**), have both a *higher* quantum yield and a *smaller* Stokes shift relative to ethidium. The only derivative that augments electron donation, on the other hand, exhibits a much *lower* quantum efficiency as compared to ethidium (compound **12**, Table 1). Taken together, these observations suggest that electron donation from ethidium's exocyclic amines is likely to facilitate a non-radiative decay of its excited state. This is a very different quenching mechanism compared with the one proposed by Olmstead and Kearns for ethidium, which relies upon the water-mediated deprotonation of ethidium's exocyclic amines in its excited state.^[27] While it is possible that this type of proton transfer may influence the photophysical properties of ethidium, it cannot explain the low quantum yields of ethidium derivatives that lack NH-containing functional groups at the 3- and 8-positions (including compounds **12** and **15**, Table 1).

For compounds **2–14**, the independent modification of the 3-position of ethidium consistently leads to a larger blue shift in both absorption and emission maxima compared with the modification of the 8-position (compare compounds **2** to **3**, **5** to **6**, **8** to **9**, and **13** to **14**, Table 1). Other groups have also reported different photophysical properties for 3-versus 8-modified derivatives of ethidium, and have attributed the differences to the different influence each position has on the LUMO energy level of ethidium.^[26] Our data indicate that significant differences in the charge-transfer propensities of these positions also exist in the *ground state* of ethidium (presented below). The trends in the photophysical data, therefore, likely reflect significant changes to both the

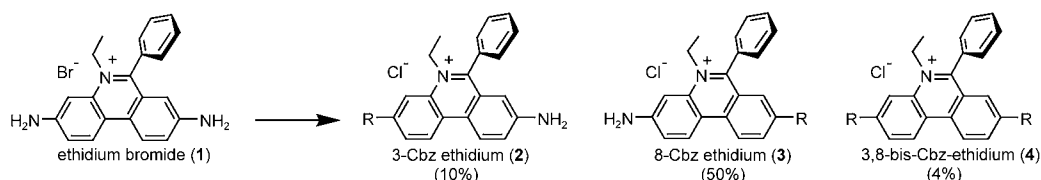
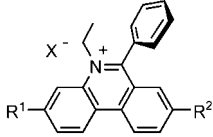


Figure 1. Selective protection of the exocyclic amines of ethidium (where $R = \text{NHCO}_2\text{CH}_2\text{Ph}$) is accomplished by treatment with benzyl chloroformate in buffered DMSO/water 1:1.^[25] Isolated yields are indicated. A 1:5 ratio of compounds **2** and **3** is also observed in the crude reaction mixture (determined by NMR integration). Unambiguous assignment of each isomer was accomplished using X-ray crystallography (see Figure 11 and Supporting Information).

Table 1. Selected ethidium derivatives and their absorption (λ_{max}) and emission (λ_{em}) maxima as well as their relative quantum efficiency (emission intensity) relative to ethidium (ϕ_{rel}).^{[25],[a]}

					
Compound	R ¹	R ²	λ_{max} [nm]	λ_{em} [nm]	ϕ_{rel}
ethidium (1)	H ₂ N-	-NH ₂	486	606	1.0
3-Cbz-ethidium (2)	Ph-CH ₂ -O-C(=O)-NH-	-NH ₂	468	589	1.0
8-Cbz-ethidium (3)	H ₂ N-	-NH-C(=O)-O-CH ₂ -Ph	473	603	0.2
3,8-Cbz-ethidium (4)	Ph-CH ₂ -O-C(=O)-NH-	-NH-C(=O)-O-CH ₂ -Ph	430	510	57
3-guanidino-ethidium (5)	H ₂ N-C(=NH)-NH-	-NH ₂	443	593	0.5
8-guanidino-ethidium (6)	H ₂ N-	-NH-C(=NH)-NH ₂	455	606	0.08
3,8-guanidino-ethidium (7)	H ₂ N-C(=NH)-NH-	-NH-C(=NH)-NH ₂	398	504	3.1
3-urea-ethidium (8)	H ₂ N-C(=O)-NH-	-NH ₂	458	589	1.0
8-urea-ethidium (9)	H ₂ N-	-NH-C(=O)-NH ₂	464	603	0.2
3,8-urea-ethidium (10)	H ₂ N-C(=O)-NH-	-NH-C(=O)-NH ₂	434	521	57
5-ethyl-6-phenylphenanthridinium (11)	H-	-H	378	428	330
tetramethyl-ethidium (12)	-N(CH ₃) ₂ -	-N(CH ₃) ₂ -	534	643	0.08
3-pyrrole-ethidium (13)	-N-pyrrole-	-NH ₂	455	594	0.8
8-pyrrole-ethidium (14)	H ₂ N-	-N-pyrrole-	466	607	0.09
3,8-pyrrole-ethidium (15)	-N-pyrrole-	-N-pyrrole-	428	504	1.2

[a] All values determined using 10 μM of each compound in 50 mM sodium phosphate (pH 7.5). The counter ion (X) is phosphate for all photophysical measurements presented above, and chloride for all NMR studies (presented below).

HOMO and LUMO energy levels of each derivative upon modification of each amine.

pK_a values of ethidium and selected derivatives: The UV/Vis absorbance spectrum of ethidium changes as a function

of pH. A plot of λ_{max} (or, alternatively, ΔAbs) versus the pH of the solution reveals a fully reversible, biphasic curve between pH 0.5 and 3.0 (Figure 2). To assist in assigning each transition, we have conducted a similar study of compounds **5–9** (see Figure 3 for representative titrations and Figure 4 for a summary of pK_a values). In the pH range of 4–11, both 3-guanidino-ethidium (**5**) and 8-guanidino-ethidium (**6**) have monophasic titration curves (Figure 3a). pK_a values of 9.2 and 9.9, respectively, are measured for these compounds by taking the inflection point of each curve as equal to the pK_a of the guanidinium group. Interestingly, 3,8-bis-guanidino-ethidium (**7**) exhibits a biphasic titration curve very similar in shape to that of ethidium, where the “first” protonation (pK_{a1}) causes a larger change in λ_{max} compared with the “second” protonation (pK_{a2}) (compare Figure 2 and Figure 3b). Since 8-guanidino-ethidium (**6**) is more basic than 3-guanidino-ethidium (**5**), the inflection points at pH 6.2 and 9.5 in Figure 3b are assigned to the 3- and 8-guanidino groups of **7**, respectively. The mono-urea derivatives **8** and **9** have pK_a values very similar to the values measured for ethidium (Figure 4). We have, therefore, assigned the 8-ammonium of ethidium as having a pK_{a1} = 2.0 (similar to 3-urea ethidium); and the 3-ammonium of ethidium as having a pK_{a2} = 0.8 (similar to 8-urea ethidium). This assignment is consistent with the differences in nucleophilicity of these two positions (Figure 1), as well as the predicted assignment of these values by Zim-

mermann and Zimmermann using computation.^[28] Importantly, the exocyclic amines of ethidium are 400–6300 times less basic than aniline (pK_a 4.6 for the anilinium ion).^[29] This indicates that ethidium's exocyclic amines (especially at the 3-position) are very electron poor. This conclusion is

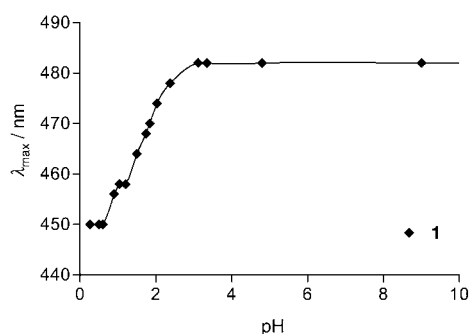


Figure 2. Changes in the λ_{\max} of ethidium (**1**) as a function of pH. By taking the pH at each inflection point as equal to pK_a , values of 2.0 and 0.8 are measured for pK_{a1} and pK_{a2} , respectively.

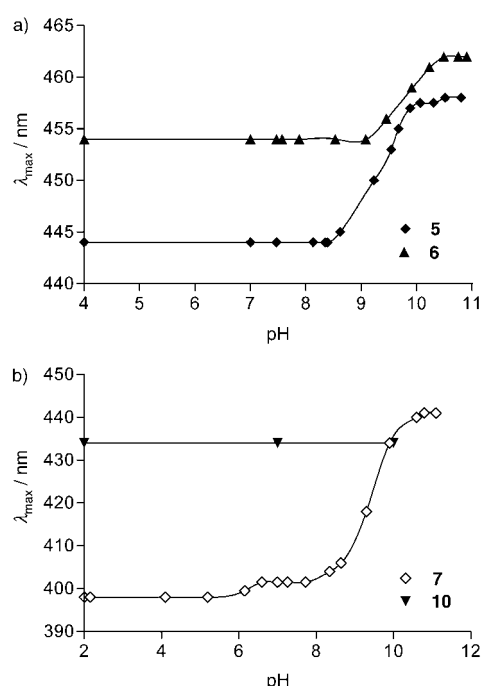


Figure 3. a) Changes in the λ_{\max} of 3-guanidino-ethidium (**5**) and 8-guanidino-ethidium (**6**). b) Changes in the λ_{\max} of 3,8-bis-guanidino-ethidium (**7**) versus pH. Unlike ethidium, both **5** and **6** exhibit a monophasic transition between pH 4 and 11 (data not gathered for these compounds below pH 4). As a control, 3,8-bis-urea-ethidium (**10**) was also monitored, and shows no changes in its absorption spectrum over this range. The errors for all pH titrations are approximately ± 1 nm and ± 0.05 pH units for each data point. Titrations were conducted using 20 μ M of each compound in aqueous buffer (either 50 mM sodium phosphate or potassium chloride/HCl) and adding HCl or NaOH to adjust the pH.

consistent with the weak nucleophilicity of these amines,^[11] as well as NMR and crystallographic data (below).

¹H NMR spectroscopical analysis of ethidium and selected derivatives: A comparison of the proton NMR spectra of ethidium's uncharged analogue (3,8-diamino-6-phenylphenanthridine) with ethidium allows one to assess how ethidium's positive charge influences the chemical environment of

each of its hydrogen atoms (compare Figure 5a with b). Relative to 3,8-diamino-6-phenylphenanthridine, most of ethidium's protons are shifted downfield by 0.2 to 0.5 ppm. There are two notable exceptions: proton H_7 is shifted upfield by 0.8 ppm, and the protons on the 3-amine are shifted downfield by 1.0 ppm. Interestingly, both exocyclic amines in 3,8-diamino-6-phenylphenanthridine have very similar chemical shifts (Figure 5a). Consistent with this finding, the exocyclic amines of 3,8-diamino-6-phenylphenanthridine exhibit similar chemical reactivity towards electrophiles, including Boc anhydride (not shown). The exocyclic amines of ethidium, on the other hand, are separated by 0.5 ppm (Figure 5b). Taken together, these data indicate that ethidium's charged quaternary center is essential for the differences in electron densities and chemical reactivities of the 3- and 8-amines.

We have fully assigned ethidium's ¹H NMR spectrum (including the hydrogen atoms of each amine) using ¹H,¹³C heteronuclear multiple bond correlation (HMBC) and the ethyl protons H_{15} as an initial starting point for the assignment (summarized in Figure 5b).^[32] Previous groups have assigned ethidium's ¹H NMR spectrum using the highly shielded proton H_7 as their initial reference (Figure 5).^[24a,30,31] It was assumed that the phenyl ring at the 6-position shields the H_7 proton via a ring current effect.^[30] Interestingly, this does not appear to be the primary origin of this phenomenon. Our results indicate that *both* the positive charge afforded by the ethidium's quaternary nitrogen *and* electron donation from its 8-amino group are necessary for the high field shift of proton H_7 . Ethidium's uncharged analogue (3,8-diamino-6-phenylphenanthridine) shows no unusual shielding of H_7 (Figure 5a). While the presence or absence of the ethyl group at the 5-position could, in theory, change the conformational dynamics of the 6-phenyl group relative to the phenanthridine "core", we have found that the 8-amino modified derivatives of ethidium (**3**, **4**, **6**, **7**, **9**, **10**, **11**, **14**, and **15** Table 1), show no unusual shielding of proton H_7 (where perturbation of the conformation of the 6-phenyl ring by these modifications is unlikely).^[25] The chemical shift of H_7 is highly sensitive to modifications of the 8-position, but insensitive to modifications made to the 3-position (see Figure 6a–c for ¹H NMR data of compounds **1–4**, Supporting Information for compound **11**, ref. [11] for compounds **5–10**, and **13–15**, and ref. [34] for **12**). The conversion of the 8-amine of ethidium into any functional group that reduces electron donation from that nitrogen results in a downfield shift of H_7 to a more typical aromatic frequency ($\delta = 7$ –7.8 ppm). Methylation of the amine at 8-position, on the other hand, results in an upfield shift of H_7 ($\Delta\delta = 0.2$ ppm for compound **1** minus **12**).^[34] Taken together, this indicates that the H_7 proton is shielded ($\Delta\delta = -1.3$ ppm for **1** minus **11**) by electron density originating primarily from the amine at 8-position.^[33] ¹³C NMR experiments (presented in the next section) provide similar results. Notably, however, even in the absence of exocyclic amines, the presence of the positively charged quaternary nitrogen also shields proton H_7 ($\Delta\delta = \sim 0.5$ ppm). This effect is apparent by comparing the ¹H NMR spectra of the two ethidium analogues 6-phenyl

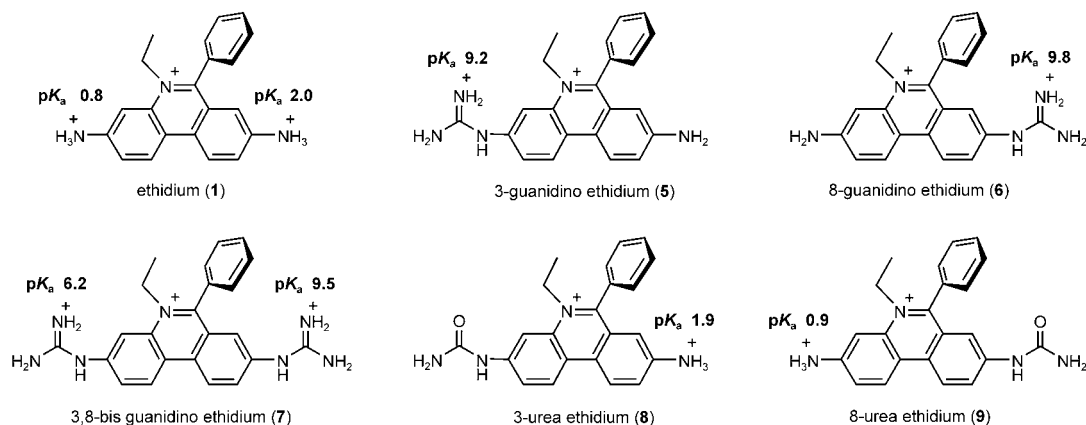


Figure 4. A summary of pK_a values as determined by UV/Vis monitored titrations. The counterions (provided by the aqueous buffer) for **1**, **8**, and **9** are chloride, and for **5**, **6**, and **7** are phosphate.

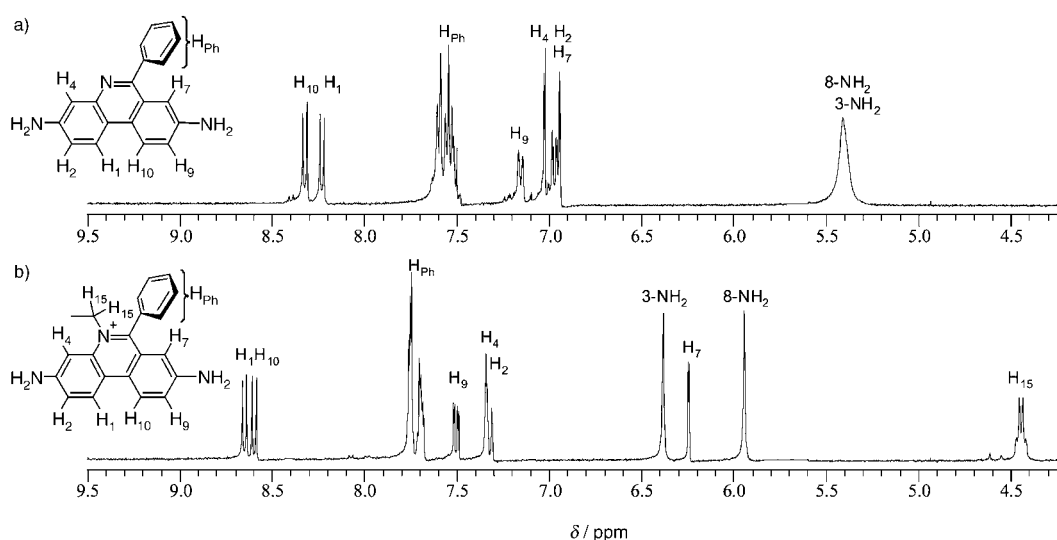


Figure 5. ^1H NMR of a) 3,8-diamino-6-phenylphenanthridine, and b) ethidium chloride (both in $[\text{D}_6]\text{DMSO}$).^[32] A partial assignment of ethidium has previously been made,^[24a,30,31] but assignment of the exocyclic amines has not yet been reported.

phenanthridine and 5-ethyl-6-phenyl phenanthridium chloride (see Supporting Information, Figure S9.1b).^[33] It is unclear at this time if this (minor) effect is related to electronic polarization or changes in the conformational dynamics of the 6-phenyl ring.

Upon Cbz modification of ethidium's amines, protons H₄ and H₇ are shifted downfield much more than H₁, H₂, H₉, and H₁₀ (Figure 6a–d). Protons H₁ and H₁₀ are *meta* to each amine and exhibit little sensitivity to Cbz modification. Protons H₂, H₄, H₇, and H₉, on the other hand, are all *ortho* to the exocyclic amines; but protons H₄ and H₇ are shifted downfield by 1.4 ppm, while H₂ and H₉ are only shifted downfield by 0.7 ppm upon Cbz modification of the neighboring amine (compare compounds **2** and **3** to compound **1**, Figure 6a, b, and d). This same effect is also apparent in the ¹³C NMR spectra of these compounds (next section). This suggests that electron density from both the 3-amino group and the 8-amino, is drawn preferentially towards the positive

center, thus shielding H₄ and H₇ much more than H₂ and H₉. There are, however, different bond orders observed between carbons C₂–C₃ versus C₃–C₄, and C₇–C₈ versus C₈–C₉ that may also contribute to this same effect (presented below and in Figure 12).

¹³C NMR spectroscopical analysis of ethidium and selected derivatives: Similar to its ¹H NMR spectrum, ethidium's ¹³C NMR spectrum exhibits a wide dispersion of chemical shifts in its aromatic region (Figure 7a). We have used distortionless enhancement by polarization transfer (DEPT), carbon–hydrogen correlation spectroscopy (HETCOR), and HMBC experiments to fully assign the ¹³C spectrum of ethidium.^[32] Our assignment, summarized in Table 2, is consistent with the partial one previously reported.^[35] We have used these same NMR techniques to fully assign the ¹³C NMR spectra of three related phenanthridine derivatives: 5-ethyl-6-phenylphenanthridinium chloride (**11**), 3,8-di-

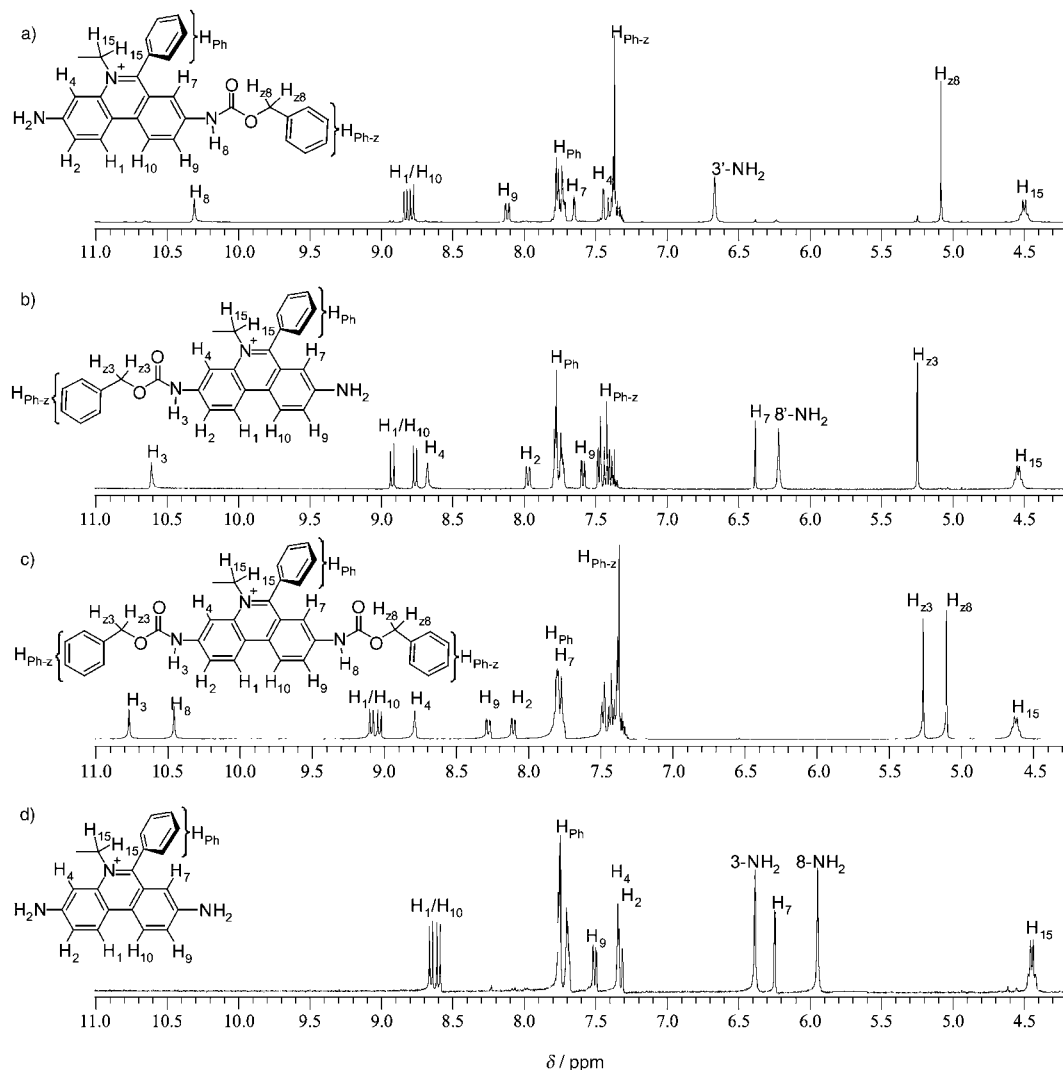


Figure 6. ^1H NMR of a) 8-Cbz-ethidium chloride, b) 3-Cbz-ethidium chloride, c) 3,8-bis-Cbz-ethidium chloride, and d) ethidium chloride (all in $[\text{D}_6]\text{DMSO}$).^[32,33] Sample a) contains a trace of sample b) to serve as an internal reference.

amino-6-phenylphenanthridine (**16**), and 6-phenyl-phenanthridine (**17**) (Figure 8 and Table 2). We have also partially assigned the mono Cbz derivatives **2** and **3** (Figure 7b and c). Consistent with the trends observed in their ^1H NMR spectra (Figure 6), the modification of ethidium's 3-amino or 8-amino with Cbz results in a large downfield shift ($\Delta\delta = +10$ ppm) of the C_4 and C_7 carbon atoms, respectively (Figure 7a–c). A similar trend was also observed upon protonation of these amines.^[35] This indicates that the high electron densities at C_4 and C_7 depend on electron donation from the neighboring exocyclic amines. Interestingly, both ^1H NMR and ^{13}C NMR spectroscopy indicate that the 3-amine shields the 4-position by about the same amount as the 8-amine shields the 7-position. This suggests that these interactions are not responsible for the different electron densities on the 3- and 8-amines of ethidium.

The ^{13}C chemical shifts of most carbon atoms in ethidium's phenanthridinium "core" are upfield of typical aromatic carbon atoms, while the carbon atoms in its 6-phenyl ring

have about the same chemical shift (~ 128 ppm) as benzene (Figure 7a). The carbon atoms C_4 and C_7 of ethidium at 97 and 107 ppm, respectively, are in the same chemical shift region as aromatic carbanions (see Supporting Information and ref. [36–39]). The analogous carbon atoms to C_4 and C_7 in the compound 6-phenyl phenanthridine (**17**) have, on the other hand, about the same chemical shift as benzene (Table 2). This suggests that, despite ethidium's *positive* charge, these positions in ethidium possess very high electron densities. Similar conclusions are reached by comparing the ^1H NMR spectra of these compounds.^[33]

The only carbon atoms in the ^{13}C spectrum of ethidium that are significantly downfield of benzene, are the four carbons that are bound directly to nitrogen atoms (Figure 7a). Carbon C_3 and C_8 have chemical shifts very similar to the nitrogen-bound carbon of aniline (148 ppm).^[39] Compared with this value, the chemical shift of C_6 is significantly downfield, and C_{13} is found upfield (Figure 7a). By comparing the ^{13}C chemical shifts of ethidium's carbon atoms to those of

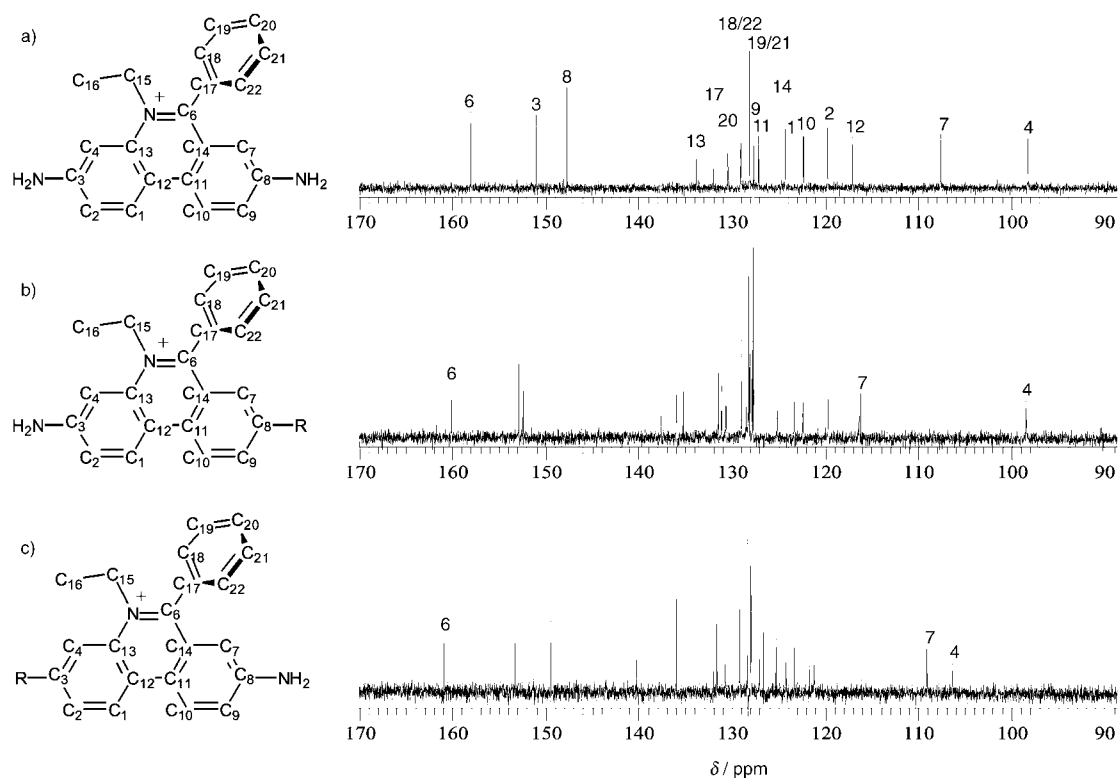


Figure 7. Partial ^{13}C NMR spectra of a) ethidium chloride, b) 8-Cbz ethidium chloride and c) 3-Cbz ethidium chloride (where $\text{R}=\text{NHCO}_2\text{CH}_2\text{Ph}$).^[32] All spectra are recorded in $[\text{D}_6]\text{DMSO}$. See Table 2 for a summary of the full ^{13}C NMR assignment of ethidium chloride.

Table 2. Summary of ^{13}C NMR chemical shifts for ethidium chloride and three related phenanthridine-containing compounds. See Figure 7 for the numbering scheme.

Carbon	Ethidium chloride (1)	5-Ethyl-6-phenylphenanthridinium chloride (11)	3,8-Diamino-6-phenylphenanthridine (16)	6-Phenylphenanthridine (17)
1	123.7	124.7	122.1	122.5
2	119.2	130.1	116.9	126.8
3	150.5	132.1	147.5	128.7
4	97.7	120.6	109.9	129.3
6	157.5	163.5	158.7	159.9
7	107.1	131.0	107.7	127.7
8	147.2	130.0	146.1	127.4
9	127.1	136.9	120.6	130.6
10	121.8	123.0	121.6	122.3
11	126.6	133.2	124.5	132.4
12	116.6	125.8	114.7	122.9
13	133.3	134.1	143.2	142.8
14	125.4	125.3	124.3	124.0
15	48.5	50.2	n.a. ^[a]	n.a.
16	13.3	14.5	n.a.	n.a.
17	131.4	130.9	140.3	138.8
18,22	128.5	129.0	129.1	129.2
19,21	127.6	128.0	127.8	127.9
20	129.9	132.1	127.8	128.3

[a] n.a. = not applicable.

the related phenanthridine-containing compounds presented in Figure 8 and Table 2, it is apparent that the relatively high-field shift of C_{13} is dependent on the presence of the quaternary nitrogen at the 5-position, and that this effect is

independent of the electron donation capacity of the groups at the 3- and 8-positions. The chemical shift for C_6 , on the other hand, is very similar for all four compounds (Table 2). This is consistent with earlier work that shows the phenyl ring at the 6-position of ethidium is responsible for the low-field shift of C_6 .^[35]

^{13}C NMR spectroscopy provides a direct method to probe the π -electron densities of aromatic carbon atoms.^[36–39] A linear correlation between π -electron charge densities and ^{13}C chemical shifts (δ) of aromatic compounds is well established (see Supporting Information and ref. [36–39]). This provides a framework for understanding the π -electron charge distribution in the phenanthridinium core of ethidium. NMR spectroscopy is, however, highly sensitive to other properties of the molecule, including bond order, hybridization, paramagnetic coupling, and electronegativities.^[38,39] To systematically dissect the electronic contributions made by ethidium's positive charge and its exocyclic amines, we have conducted an "NMR difference analysis" of the phenanthridine derivatives shown in Figure 8 (see Figure 9 for the differences in ^{13}C chemical shifts of these compounds, and Supporting Information for a similar analysis of the ^1H spectra).^[33] By calculating the differences in the chemical shifts at each position, the factors that are common to each compound should, for the most part, cancel out. Thus, by making a carbon-by-carbon comparison of ethidium chloride (1) to 5-ethyl-6-phenylphenanthridinium chloride (11), the π -electron charge distribution originating from ethidium's

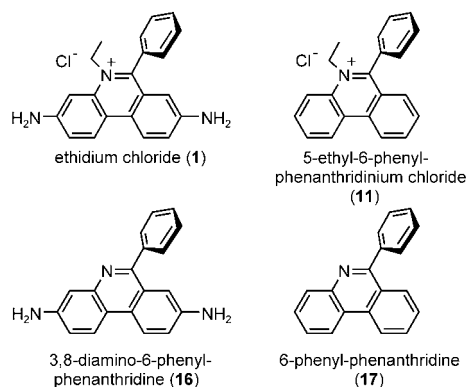


Figure 8. Structures of ethidium chloride and three related phenanthridine-containing compounds. See Table 2 for the ^{13}C NMR assignment of each compound, and Supporting Information for a summary of the ^1H NMR assignments.

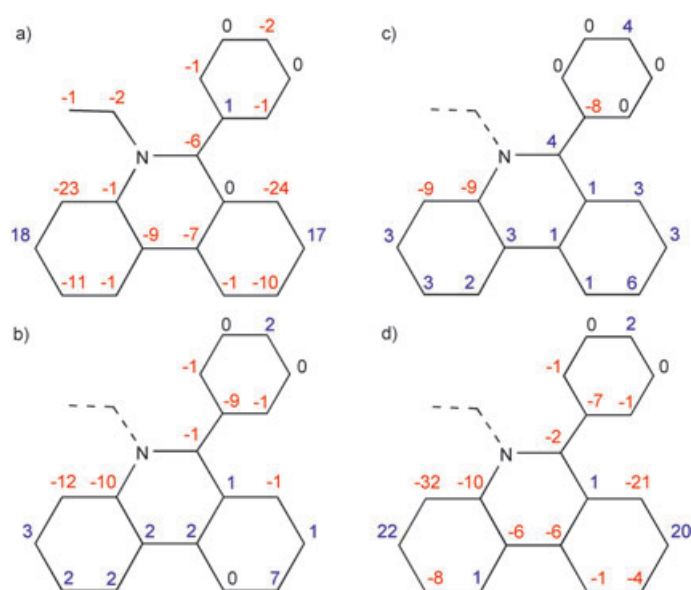


Figure 9. Differences in ^{13}C chemical shifts ($\Delta\delta$) for the compounds shown in Figure 8. a) **1** minus **11**, b) **1** minus **16**, c) **11** minus **17**, and d) **1** minus **17**.^[40]

exocyclic amines has been evaluated. The differences in ^{13}C NMR chemical shifts ($\Delta\delta$) of these two compounds are summarized in Figure 9a.^[40] As expected, the majority of electron density from these amines is directed to carbon atoms that are *ortho/para* to them (C_2 , C_4 , C_7 , C_9 , C_{11} , and C_{12}) (see Figure 9a). Consistent with trends observed for the Cbz-modified derivatives (presented above), the *ortho* positions closer to the quaternary nitrogen (C_4 and C_7) receive much more electron density than the *ortho* positions further from the positive center (C_2 and C_9). The carbon atoms in the 5-ethyl and 6-phenyl groups of ethidium, on the other hand, are relatively insensitive to the presence/absence of ethidium's exocyclic amines (Figure 9a). This indicates that, for the most part, these carbon atoms are electronically isolated from the exocyclic amines.

By comparing ^{13}C NMR chemical shifts of ethidium chloride (**1**) to 3,8-diamino-6-phenylphenanthridine (**16**), the effect of introducing a positive charge into ethidium can be examined (the difference in chemical shifts for each carbon atom in these two molecules is presented in Figure 9b). As expected, the introduction of a positive charge deshields most carbon atoms (positive values, Figure 9b). There are, however, a small number of carbon atoms that become more shielded in the presence of the positive charge (C_4 , C_{13} , and C_{17}). To evaluate what effect, if any, the exocyclic amines of ethidium have upon this phenomenon, the chemical shift differences between 5-ethyl-6-phenylphenanthridinium (**11**) and 6-phenylphenanthridine (**17**) have also been determined (Figure 9c). These differences assess the impact of introducing a positive charge into the system in the absence of the exocyclic amines. For most carbon atoms, the differences between **1** and **16** (Figure 9b) are very similar to the differences between **11** and **17** (Figure 9c). This suggests that for most positions, the positive charge afforded by the quaternary nitrogen has little impact on the magnitude of electron donation by the exocyclic amines. Some carbon atoms, however, do receive more electron density from the exocyclic amines when the positive charge is present (including C_4 , C_6 , and C_7 , compare Figure 9b and c). This suggests that the positive charge of ethidium pulls additional electron density from its exocyclic amines into the π -orbitals of these particular carbon atoms. Unlike the differences seen in the presence/absence of the exocyclic amines (Figure 9a), the carbon atoms in the 6-phenyl ring of ethidium are sensitive to the presence/absence of the charge at the 5-position (Figure 9b and c). The positive charge polarizes the 6-phenyl ring, resulting in a relatively high electron density at C_{17} and a lower electron density at C_{20} .

Importantly, for all carbon atoms in the phenanthridinium "core" of ethidium, the magnitudes of ^{13}C chemical shift differences are much larger in the presence/absence of the exocyclic amines (Figure 9a) as compared with the presence/absence of the positive charge (Figure 9b, c). This indicates that electron donation by ethidium's exocyclic amines has a greater effect on the overall π -electron charge density of the phenanthridinium system than the electron withdrawing effect of the quaternary nitrogen. Taken together, these results can be rationalized if one considers the phenanthridinium "core" of ethidium to be an iminium-bridged biphenyl (presented in the next section).

By calculating the differences in ^{13}C NMR chemical shifts between ethidium chloride (**1**) and 6-phenylphenanthridine (**17**), the combined contributions of both electron donation and withdrawing effects are established (Figure 9d).^[40] Importantly, the resulting values (Figure 9d) are equal to a summation of the individual contributions made by electron donation from the amines (Figure 9a) plus the contributions made by the introduction of the positive charge (Figure 9b, c). These effects, therefore, exhibit simple additivity, and the magnitudes of the individual "difference maps" are scaled the same (Figure 9a–d). These properties are consistent with the linear relationship between the electron densities of

simple aromatic anions and cations and their ^{13}C chemical shifts (see Supporting Information and ref. [36–39]). Interestingly, the net effect of introducing both the positive charge and the exocyclic amines into the 6-phenylphenanthridine scaffold is to increase the electron density at most of the aromatic carbon atoms in ethidium (negative values, Figure 9d). This same conclusion is reached by conducting the same type of “NMR difference analysis” of the ^1H NMR spectra of these four compounds.^[33]

X-ray crystallography of ethidium and selected derivatives:

To relate the electronic features of ethidium to the bond orders between its C and N atoms, X-ray crystallography was used to solve the 3D structure of ethidium chloride (**1**), and the Cbz derivatives **2** and **3** (Figures 10 and 11). The C–C bond lengths observed in the phenanthridinium core of ethidium chloride are very similar to those reported for both phenanthridine and 5,6-dimethylphenanthridinium chloride (Figure 10a–c).^[41,42] The π -bond orders of ethidium are, therefore, similar to those previously reported for phenanthridine (Figure 12).^[42,43] For all crystal structures of phenanthridine-containing compounds (Figures 10 and 11), the carbon–carbon bond lengths in the phenanthridine “core” alternate between relatively short (~ 1.35 Å) and relatively long (~ 1.42 Å) bonds (for comparison, benzene’s C–C bond lengths are 1.39 Å). More double-bond character, therefore, is found between the C_3 – C_4 and C_7 – C_8 ethidium carbon atoms as compared to C_2 – C_3 and C_8 – C_9 . This may, in part, explain the greater magnitude of electron donation from the exocyclic amines to its 4- and 7-positions versus its 2- and 9-positions (Figure 9a). Importantly, the bond between N_5 and C_6 of ethidium is almost a full double bond (Figure 12).^[43] This conclusion is consistent with the gentle conditions under which this bond is reduced,^[24a] as well as the strong IR absorbance peak of ethidium at 1620 cm^{-1} (typical for an iminium $\text{N}=\text{C}$ stretch).^[44–46] The phenanthridinium “core” of ethidium can, therefore, be regarded as an iminium-bridged biphenyl (Figure 13). The electronic “isolation” of ethidium’s iminium from the biphenyl system may explain why the π -electron density in its biphenyl “core” is dominated by its exocyclic amines, as well as why the chemical shifts of the 6-phenyl ring are sensitive to changes at the 5-position but not to changes at the 3- and 8-amines (Figures 5, 6, Figure 9).

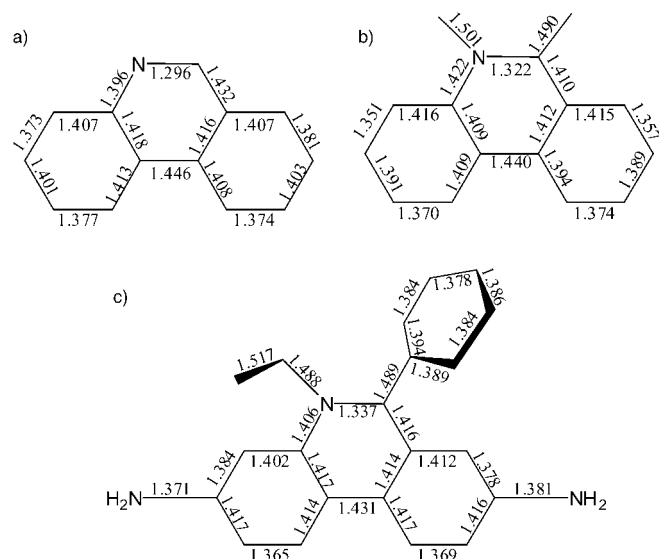


Figure 10. Bond lengths [Å] observed for three recently determined crystal structures of phenanthridine and phenanthridinium-containing molecules. Errors in bond lengths are approximately ± 0.003 Å for a) and c), and ± 0.005 Å for b). See Supporting Information for the ORTEP drawing and crystallographic parameters for ethidium chloride c).^[49] See ref. [41] for phenanthridine a), and ref. [42] for 5,6-dimethylphenanthridinium chloride b).

Crystallographic data indicate that both phenanthridine and 5,6-dimethyl phenanthridinium chloride (Figure 10) are planar.^[41,42] In contrast, ethidium is found to have a 4° twist

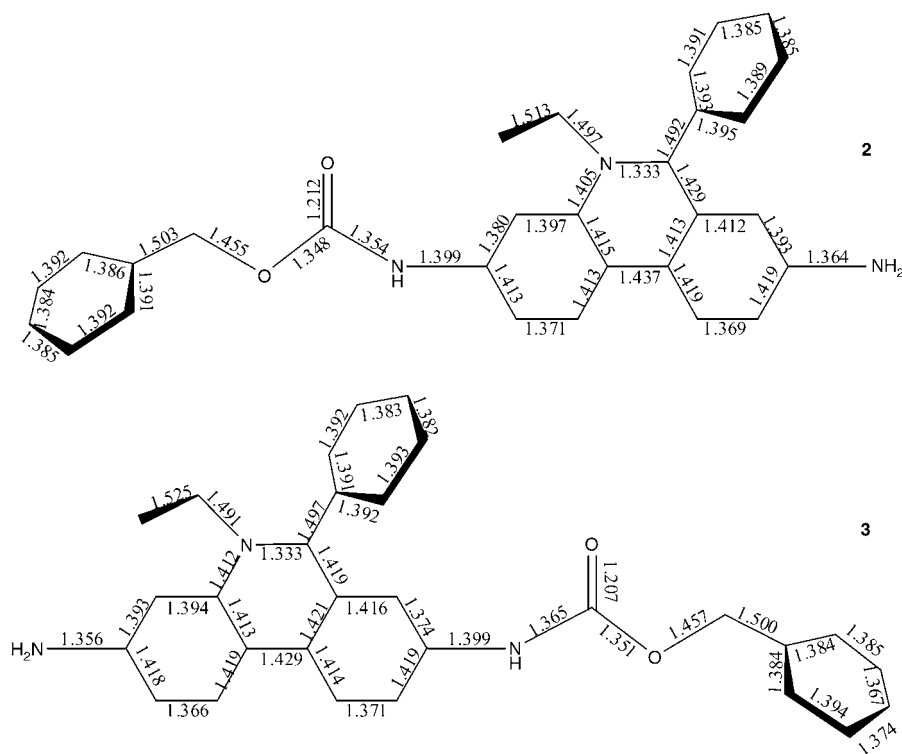


Figure 11. Bond lengths [Å] found in the crystal structures of 3-Cbz ethidium (**2**) (top) and 8-Cbz ethidium chloride (**3**) (Bottom). Errors in bond lengths are ± 0.002 Å.^[49]

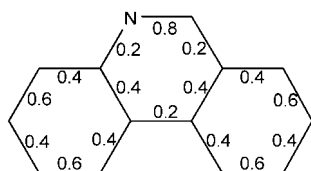


Figure 12. A summary of phenanthridine's π -bond orders as determined by Kiralj et al.^[42] Pauling bond orders were calculated by analyzing the bond lengths in a high-resolution crystal structure of phenanthridine.^[41] We have found that the phenanthridinium cores of both ethidium chloride and 5,6-dimethylphenanthridinium chloride each have approximately the same bond lengths as those observed for phenanthridine (Figure 10),^[43] and therefore, each have similar bond orders as those shown above.

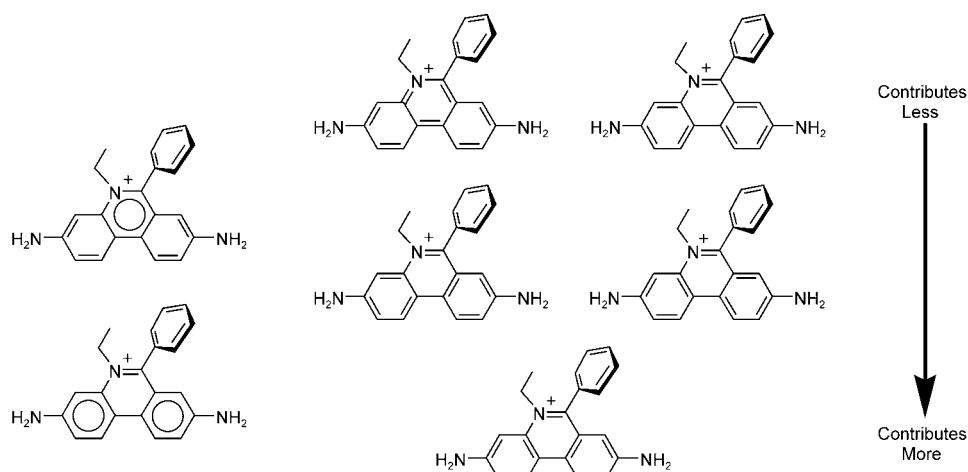


Figure 13. All possible Clar and Kekulé depictions of ethidium's phenanthridinium "core". Bond-length/bond-order relationships indicate that the depictions near the bottom of this Figure contribute most to the actual electronic structure.

in its phenanthridinium core (the dihedral angle between the midpoints of the two vectors defined by protons H_2H_1 and H_6H_{10} , relative to the central axis of the biphenyl "core" of ethidium).^[49] Similar to ethidium, the phenanthridinium cores of both **2** and **3** are also not planar.^[49] The twist angle is, however, different in the crystal structure of each of these Cbz derivatives. 3-Cbz ethidium chloride (**2**) has about the same twist as the parent compound (4°). 8-Cbz ethidium chloride (**3**), however, shows a greater twist of the phenanthridine core (8°). Taken together, this indicates that some sp^3 character is introduced into the phenanthridinium core of ethidium by its the exocyclic amines.^[48] Interestingly, the C–N bond lengths for the free amines of **2** and **3** are shorter than the analogous bonds in the parent structure (compare Figures 10c and 11). This indicates that upon blocking one amine (by Cbz protection) the amine opposite to it compensates by donating more of its electron density into the phenanthridinium core. This is consistent with the 1H downfield shifts of ethidium's 3- and 8-amino groups upon Cbz modification of the other amine (Figure 6a, b, d). These effects may, in part, explain the differences in the relative quantum efficiencies of emission for compounds **2–13**

(Table 1) where the independent modification of the 8-position (compounds **3**, **6**, **9**, and **14**) leads to a significant (5–15 fold) decrease in emission intensity as compared to ethidium, while modification of the 3-position shows little or no effect for compounds **2**, **5**, **8** and **13** (Table 1).

Consistent with an early crystal structure of ethidium,^[47] differences in the C–N bond lengths of ethidium's two exocyclic amines are observed. A 0.01 Å shorter C–N bond length is found for the amine at the 3-position as compared to the 8-position (Figure 10c). A 0.01 Å difference is also observed between the C–N bond lengths for the "free" amines of the two mono Cbz derivatives **2** and **3** (Figure 11). Taken together, this indicates that more C=N double-bond

character, and hence, more positive charge resides at the 3-amine of ethidium as compared to the 8-amine. Both amines, however, have shorter C–N bond lengths than that of aniline (1.4 Å), and are, therefore, more electropositive than aniline. These results are consistent with the 1H NMR chemical shifts (Figure 6), pK_a values (Figure 4), and the chemical reactivities of these amines (Figure 1).

π -Electron density map of ethidium: We have used the ^{13}C NMR "total" difference map (Figure 9d) in conjunction with bond-length/bond-order relationships to generate an empirical π -electron density

map of ethidium that is scaled to its +1 charge (Figure 14a, b). Since the π -charges on ethidium's nitrogen atoms are proportional to their π -bonding with carbon atoms, the partial charges on these atoms are estimated from known N–C bond lengths (Figure 10c). Due to experimental errors associated with bond lengths (± 0.003 Å), and the somewhat arbitrary assignments made for bond-order/charge relationships, these values will serve as estimates. Despite this, the trends between like atoms should be reliable. For the quaternary nitrogen, the N_5-C_6 bond length is used to assign its charge by comparing its length to standard N–C bond lengths from crystal structures of analogous nitrogen-containing molecules with known charges.^[50] The N=C bond length of *N,N*-dimethylisopropylideneammonium tetraphenylborate (1.30 Å) is used as a standard equal to +1 (a full double bond).^[51] The N–C bond length of 7-(α -(2-methoxycarbonylanilino)benzyl)quinoline-8-ol is taken as a single bond standard (at 1.47 Å) and equal to zero charge.^[52] Using these standards, a linear correlation is used to assign a charge of +0.76 for the quaternary nitrogen (Figure 14a).^[50, 53] A similar method is used to estimate the charges on ethidium's 3- and 8-amino groups. As a standard

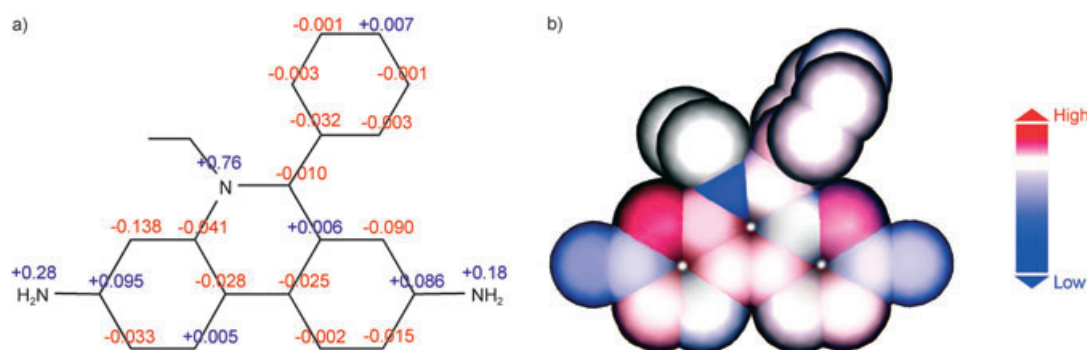


Figure 14. Ground state π -electron charge distribution on individual atoms of ethidium chloride based upon ^{13}C NMR and X-ray crystallography a). The values for the carbon atoms are determined by taking the differences in ^{13}C NMR chemical shifts between ethidium chloride and 6-phenyl phenanthridine (Figure 9d), and are scaled according to the total sum of charge on the nitrogen atoms.^[57,58] The charges on the nitrogen atoms are determined from bond-length/bond-order relationships observed in a high resolution X-ray structure of ethidium chloride as compared to simple standards.^[50–55] A space-filling model of ethidium (with hydrogen atoms omitted) illustrating the relative π -electron densities of individual atoms indicated by color and intensity is presented in b).

for these exocyclic amines, the N–C bond lengths for the Cbz-modified amino groups of **2** and **3** (1.40 Å) are taken to equal zero charge (Figure 11). This is the same bond length as aniline, and, therefore, the charges assigned to these atoms are with respect to aniline. The N=C bond length of both *N,N*-dimethyl-isopropylideneammonium tetraphenylborate and 9-(10-methyl)acridinium iodide (both 1.30 Å) are used as the standards for a double bond and are taken as a +1 charge.^[51,54] Using these standards, charges of +0.28 and +0.18 have been assigned to the 3- and 8-amines, respectively (Figure 14a).^[55]

An early computational study by Giacomoni and Le Bret also predicted positive π -charges for all of ethidium's nitrogen atoms.^[22] Their study utilized a very early and possibly less accurate crystal structure of ethidium.^[56] Using a Pariser–Parr calculation they predicted that the ethidium's exocyclic amines have the *same* partial charges and that its positive charge is highly delocalized over many different atoms (see Supporting Information for a comparison of the Le Bret model with ours).^[22] While the RESP atomic charges reported in a more recent computational study do not have any physical meaning in the absence of a force field, similar trends in these values are also reported.^[16] Our results, on the other hand, indicate that the positive charge of ethidium is highly localized at N₅ and that its exocyclic amines have substantially different charges.

The total sum of charge carried by the three nitrogen atoms of ethidium equals +1.22 (Figure 14a). To maintain a total charge of +1.0, the charge on all carbon atoms is taken as equal to −0.22.^[57] The magnitude and sign of this charge is consistent with the preponderance of highly-shielded carbon atoms in the ^{13}C NMR spectrum of ethidium, and it is distributed according to the *differences* in ^{13}C NMR chemical shifts between ethidium chloride and 6-phenyl phenanthridine (Figure 9d).^[57] The reported charge density on each carbon atom is, therefore, relative to 6-phenyl-phenanthridine (very similar to benzene for most of its carbon

atoms, see Table 2). ^{13}C NMR experiments indicate that the differences between ethidium chloride and 6-phenyl phenanthridine (Figure 9d) are a simple summation of the electronic contributions made by its exocyclic amines (Figure 9a) and the positive charge afforded by the quaternary nitrogen (Figure 9b, c). The total sum of all the differences in ^{13}C NMR chemical shifts (due to the exocyclic amines and quaternary nitrogen of ethidium) is −50 ppm (Figure 9d). This total difference is taken as equal to the total “excess” charge originating from all three amines (−0.22). Importantly, this charge to ^{13}C chemical shift ratio (at 0.005 charge units per ppm) is approximately the same relationship already established for simple aromatic anions and cations (at 0.006 charge units per ppm; see Supporting Information and ref. [36–39]). This provides substantial evidence that the assumptions made in assigning the charges of nitrogen atoms are appropriate, and that the reported π -charges on the nitrogen and carbon atoms are scaled the same (Figure 14). Since the relationship between $\Delta\delta$ and π -electron charge density is known to be linear (see Supporting Information and ref. [36–39]), we have assigned the partial charge on each of the carbon atoms of ethidium by taking its fractional contribution to the total difference between the ^{13}C NMR spectra of ethidium and 6-phenylphenanthridine ($\Delta\delta_{\text{C}}/−50$ ppm) multiplied by (−0.22). The resulting charges on each atom are summarized (Figure 14a), and are presented in a space-filling model of ethidium where π -electron densities are indicated by the color and intensity of each atom (Figure 14b).^[58]

A number of theoretical studies portray ethidium as having a relatively uniform, electron-poor polycyclic system, and, therefore, an electron “acceptor” in its π -stacking interactions with nucleic acid base pairs.^[15,16,21] In contrast, we find that the π -electron distribution of ethidium is highly irregular and that high electron densities are found on most of ethidium's carbon atoms, and low π -electron densities on its nitrogen atoms (Figure 14a, b).^[58] The magnitudes and distribution of these charges form a unique and complex

pattern within the phenanthridinium “core” of ethidium that shares many important similarities to a semiempirical SCF-CI-PPP computational study reported by Zimmermann and Zimmermann.^[59] Their model, however, likely underestimates the differences in charges at the 3- and 8-amines, and little agreement is observed for the atoms in the 6-phenyl ring of ethidium (see Supporting Information for a comparison of the Zimmermann model with our results). Numerous potential reasons exist for the differences between our results and the various theoretical studies mentioned throughout this report, including: solvation and counterion effects, the accuracy of the molecular coordinates, and validity of the models used.

Implications

The detailed study of an intercalating agent’s π -electron distribution may provide a framework for understanding its nucleic acid binding specificity. For nucleic acids, π -stacking interactions between base pairs are known to have a profound effect on both the geometry and thermodynamic stability of helical structures.^[60–62] The combined electrostatic effects of both π -electron density and lone pair localization are taken as important factors in mediating these base-stacking interactions.^[63] The π -electron density and lone pair delocalization of ethidium should, therefore, also be important determinants in its affinity for different intercalation sites. We have, for the first time, measured these parameters using high resolution experimental data and relatively simple data analysis.

Our results prove that compared with the 8-amino derivative, the 3-amino is significantly more electron poor, and that both amines are electropositive (relative to aniline). The electronic differences between the 3- and 8-positions of ethidium are consistent with simple resonance theory. The positive charge on ethidium’s quaternary nitrogen can delocalize to the 3-nitrogen but not to the 8-nitrogen (Figure 15a). It should be noted, however, that even in the absence of the exocyclic amines, the charge afforded by the quaternary nitrogen polarizes the phenanthridine core of ethidium more at C_3 – C_4 as compared to C_7 – C_8 (according to the differences in the ^{13}C NMR chemical shifts of 5-ethyl-6-phenylphenanthridinium chloride versus 6-phenylphenanthridine, see Figure 9c). This suggests that two or more different electronic effects make the 3-amine of ethidium more electropositive than the 8-amine.

Unlike a number of previous theoretical studies, we conclude that most carbon and hydrogen atoms in ethidium have relatively high electron densities.^[15,16,22] This makes it less likely that these groups will exhibit energetically favorable π -stacking interactions with nucleobase pairs.^[17–19] It is possible that some degree of π -electron repulsion may actually *decrease* ethidium’s intercalation energy at some sites more than others and thus exhibit influence on its observed binding site specificity (electronic negative readout).

As expected, the exocyclic amines of ethidium donate electron density to the carbon atoms that are *ortho/para* to them (Figure 9a). Interestingly, a disproportionate amount of electron density is found at the two *ortho* positions located closer to the positive center (carbon atoms C_4 and C_7). This feature is also apparent in two theoretical models.^[16,59] We have found that the high π -electron densities at these carbons depend upon the electron donation capacity of the neighboring exocyclic amine. The ability of electron density from these amines to flow to C_4 and C_7 through resonance is apparent by a comparison of the relative C_3 – C_4 and C_7 – C_8 bond lengths in compounds **2** and **3**. Upon “blocking” each amine by Cbz modification, a shorter bond length is observed in the neighboring C–C bond found closer to the positive center while other C–C bond lengths are largely unaffected (Figure 11). Taken together, these results indicate that at least two different charge-separated resonance structures make important contributions to the ground-state electronic structure of ethidium (Figure 15b).

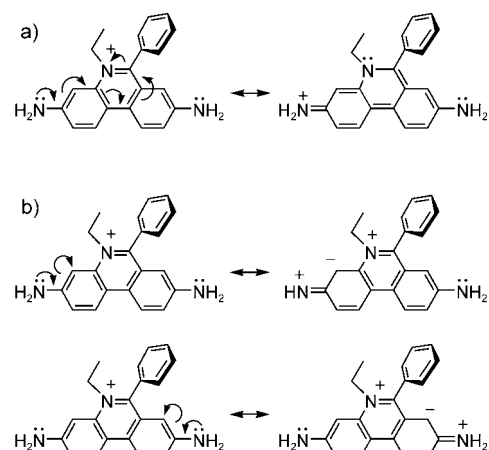


Figure 15. Resonance structures showing charge transfer between the 3-amine and the quaternary nitrogen of ethidium a). No matter which Kekulé structure is used (Figure 13), it is not possible to push the lone pair of electrons from the 8-amine directly onto the quaternary nitrogen. Two important resonance structures showing charge separation in ethidium’s ground state b). Both NMR and X-ray crystallography indicate that the charge-separated resonance structures shown in b) make approximately equal contributions to the electronic structure of ethidium.

The exocyclic amines of ethidium are known to be important for DNA binding.^[64] We have found that the conversion of these amines into other functional groups dramatically affects both the nucleic acid affinity/specificity, and the electronic structure of the resulting derivatives.^[11] It has been proposed that the partial positive charges carried by ethidium’s exocyclic amines are important for mediating electrostatic attraction and hydrogen bonding interactions with DNA’s phosphate groups.^[65] Indeed, these phosphate and amino groups are within hydrogen bonding distances in a crystal structure of ethidium intercalated into nucleotide diphosphates.^[12] Our results support the potential importance of this electrostatic interaction, and are in stark contrast to a

recent computational study that portrays these amines as being electron rich (with low electrostatic potentials compared with the aromatic carbon atoms of ethidium).^[15] It is currently unknown how the different charges at ethidium's 3- and 8-amines influence its binding orientation and/or specificity. Unfortunately, there is crystallographic evidence for the intercalation of ethidium into duplex DNA from both "approaches" (i.e., the phenyl residing in both the major and minor grooves).^[12,66] This ambiguity currently limits the reliability of even simple electrostatic models of ethidium bound by DNA, since the geometric constraints of complexes formed by the intercalation of ethidium into long duplex DNA are currently unknown.^[66]

Much work remains to fully understand and predict the π -stacking interactions between ethidium and nucleic acids. A complex interplay of multiple energetic contributions (dispersion, electrostatic, dipole-multipole, etc.), combined with the geometric constraints of the particular ethidium–nucleic acid complex, are likely responsible for ethidium's binding specificity.^[18] We have presented, for the first time, an empirically-based π -electron distribution map of ethidium. The ways in which this electronic structure contributes to the total binding energy of various intercalation complexes are currently under investigation and will be reported in due course.

Acknowledgement

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- [44] Another group has assigned this peak to an N–H stretch of ethidium's exocyclic amines.^[31] This does not, however, appear to be correct since tetramethyl-ethidium chloride (**12**) and 5-ethyl-6-phenylphenanthridinium chloride (**11**) lack N–H bonds but show absorptions in this same region.^[45]
- [45] See Supporting Information for IR spectra of ethidium chloride (**1**), tetramethyl-ethidium chloride (**12**), and 5-ethyl-6-phenylphenanthridinium chloride (**11**).
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- [49] The atomic coordinates of the hydrogen atoms were determined using electron difference maps. See Supporting Information for the ORTEP drawing and crystallographic parameters for compounds **1–3**. CCDC-247564 (**1**), -247565 (**2**), and -247566 (**3**) contain the atomic coordinates for these structures. These data can be obtained free of charge via www.ccdc.cam.ac.uk/conts/retrieving.html (or from the Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: (+44) 1223-336-033; or deposit@ccdc.cam.ac.uk).
- [50] The C₁₃–N₅ bond has not been used as part of the charge calculation of N₅, since little π -bonding between these atoms is observed (Figures 12 and 13). In addition, since the N–C bond length in aniline (1.40 Å) has been used as the standard length equal to no charge for the exocyclic amines, the C₁₃–N₅ bond (also 1.40 Å) has no effect on computed charge of N₅.
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